

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/481,126	
	Filing Date	January 11, 2000	
	First Named Inventor	Douglas R. Elliott	
	Group Art Unit	3628	
	Examiner Name	Harish T. Dass	
Total Number of Pages in This Submission		Attorney Docket Number	TEQ 01117 PTUS

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		Copies of RCE and this transmittal form being submitted simultaneously to Board of Appeal and Interferences

RECEIVED
OCT 20 2003
GROUP 3600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Dawn C. Wolff, Reg. 48,695
Signature	
Date	September 30, 2003

CERTIFICATE OF MAILING EXPRESS MAIL EV184577844 US			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 30, 2003			
Typed or printed name	Angela Wilson		
Signature		Date	September 30, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



App. No. 09/481,126

Docket No. TEQ 1117002

AF1 3628
#162
1-9-04

IN THE UNITED STATES BOARD OF APPEAL AND INTERFERENCES

In re application of: Douglas R. Elliott

For: **Method for Obtaining and Allocating Investment Income Based
on the Capitalization of Intellectual Property**

U.S. Serial No.: 09/481,126

Filed: January 11, 2000

Group Art Unit: 3628

Examiner: Harish Dass

RECEIVED

OCT 20 2003

GROUP 3600

Mail Stop: Appeal Brief- Patents
Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313

Dear Sir:

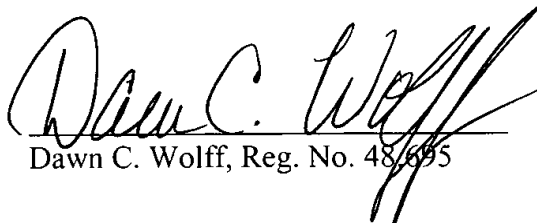
CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:	
MAIL STOP APPEAL BRIEF- PATENTS Commissioner for Patents P.O. Box 1450 Arlington, VA 22313	
on	<u>October 1, 2003</u>
	<u>Angela Wilson</u>

IN THE UNITED STATES BOARD OF APPEAL AND INTERFERENCES

Dear Sir:

Enclosed please find a courtesy copy of a Request for Continued Examination (RCE) and transmittal letter indicating submission of RCE along with a Response to Office Action for the above patent application. Both were filed via express mail on September 30, 2003. A Notice of Appeal was previously filed in this case.

Respectfully submitted,



Dawn C. Wolff, Reg. No. 48,695

Storm & Hemingway, L.L.P.
8117 Preston Road
Suite 460
Dallas, TX 75225
Telephone: (214) 292-8996
Fax: (214) 292-8999